

## Compliance Report on Corporate Governance under Regulation 27(2) of SEBI (Listing Obligations &amp; Disclosure Requirements) Regulations 2015

1. Name of Listed Entity: **Little Rock Trade and Investment Ltd.**  
 2. Quarter ending: **30-Jun-19**

## I. Composition of Board of Directors

Title (Mr. / Ms)	Name of the Director	PAN & DIN	Category (Chairperson/Executive/N on-Executive/independent/Nominee) <sup>5</sup>	Date of Appointment in the current term/cessation	Tenure	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Ms.	Deepa Nanda	PAN: ALEPN8112H DIN: 06535258	Whole Time Director	24-03-2015*	N/A	2	6	3
Mr.	Sankar Barik	PAN: BHZPB6228A DIN: 05215355	Independent Director	30-05-2019 (cessation)	74 Months	0	0	0
Ms.	Rajni	PAN: BANPR3432B DIN: 06676224	Executive Director	24-03-2015**	N/A	1	2	0
Mr.	Jagbir Singh	PAN: EVJPS7454G DIN: 06553628	Whole Time Director	30-05-2019 (appointment)	1 Month	2	3	3

\* Ms. Deepa Nanda was originally appointed as Non-Executive Director on 14/05/2013 and appointed as Whole Time Director w.e.f 24/03/2015.

\*\* Ms. Rajni was originally appointed as Non-Executive Director on 14/08/2013 and appointed as Executive Director w.e.f 24/03/2015

## II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)
1. Audit Committee#	1. Ms. Rajni 2. Ms. Deepa Nanda 3. Mr. Jagbir Singh (Chairman of committee)	Executive Executive Independent
2. Nomination & Remuneration Committee#	1. Ms. Rajni 2. Ms. Deepa Nanda 3. Mr. Jagbir Singh (Chairman of committee)	Executive Executive Independent
3. Risk Management Committee(if applicable)	NA	
4. Stakeholders Relationship Committee#	1. Ms. Deepa Nanda 2. Mr. Jagbir Singh (Chairman of the committee)	Executive Independent

#all the three committee were reconstituted on May 30, 2019 due to resignation of Mr. Shankar Barik (Independent Director) and appointment of Mr. Jagbir Singh as Independent Director.

## III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
11-02-19	22-04-2019, 30-05-2019	70 days

## IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
30-05-19	Yes, all the members were present at the meeting	11-02-19	108 days

## Related Party Transactions

Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

## VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
- The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - Audit Committee
  - Nomination & remuneration committee
  - Stakeholders relationship committee
  - Risk management committee (applicable to the top 100 listed entities)
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

For Little Rock Trade and Investment Ltd.

Deepa

Deepa Nanda  
(Director)  
Authorised Signatory

Date: 08-07-2019  
Place: New Delhi